

Submit the completed form and supporting documents to:

E-mail [invest@gryphon.com](mailto:invest@gryphon.com)

Fax +27 86 206 2635

If you have any questions, contact us at:

E-mail [invest@gryphon.com](mailto:invest@gryphon.com)

Tel +27 21 915 5100

### 1. Investor Details

Investor Number: \_\_\_\_\_

Surname / Trust / Company: \_\_\_\_\_

First Names / Contact Name: \_\_\_\_\_ Title: \_\_\_\_\_

ID / Passport Number/Registration Number: \_\_\_\_\_

### 2. Repurchase (Sell)

Gryphon Fund	Number of Units	Rand Value	Percentage
Gryphon Money Market Fund			
Gryphon Dividend Income Fund			
Gryphon Prudential Fund			
Gryphon Flexible Fund			
Gryphon All Share Tracker Fund			
Gryphon Global Equity Fund			

I/We hereby authorise Gryphon Collective Investments to pay the proceeds into my/our bank account on Gryphon's system

**OR**

I/We hereby authorise Gryphon Collective Investments to pay the proceeds into my/our bank account details below:

Please take note that no Credit Card Accounts or Third Party Payments will be allowed on redemption.

Name of Bank: \_\_\_\_\_ Account Holder(s): \_\_\_\_\_

Branch Name: \_\_\_\_\_ Branch Code: \_\_\_\_\_

Type of Account: \_\_\_\_\_ Account Number: \_\_\_\_\_

Cancel debit order. Comments: \_\_\_\_\_

Future date for repurchase to be processed: \_\_\_\_\_

Initial: \_\_\_\_\_

### 3. Recurring Withdrawal

From Unit Trust Portfolio	Cancel	Monthly Withdrawal			Start date for changed withdrawal (dd/mm/yy)	New recurring withdrawal amount
		2nd	16th	27th		
Gryphon Money Market Fund						
Gryphon Dividend Income Fund						
Gryphon Prudential Fund						
Gryphon Flexible Fund						
Gryphon All Share Tracker Fund						
Gryphon Global Equity Fund						

### 4. Disclosures

- Gryphon Collective Investments ("GCI") is a member of the Association for Savings & Investments SA (ASISA).
- Collective Investment Schemes (CIS) are generally medium to long-term investments. The value of participatory interests (units) may go down as well as up and past performance is not necessarily a guide to future performance.
- Fluctuations or movements in exchange rates may cause the value of the underlying international investments to go up or down.
- CIS Unit prices are calculated on a net asset value (NAV) basis, which is the total value of all assets in the portfolio, including income accruals and less any permissible deductions from the portfolio.
- All instructions for transactions received on or before the fund's cut-off time will be traded at same day ruling prices. Fund valuations take place at 15h00 each business day and forward pricing is used. Fund of Funds (Prudential and Flexible) are valued at 09h00 on the morning following the previous day's closing prices.
- GCI reserves the right to withhold the processing of any unclear, incomplete or ambiguous requests forwarded by the unit holder or his/her/ its representative.
- Repurchase payments will be made to the unit holder only, no Credit Card Accounts or third-party payments.
- Investments in the selected funds will be placed in either, Class A, B, C1, C or E units with different applicable fees and charges, according to the policy of GCI.
- A maximum annual service charge per fund, excluding VAT, of: 0.20% on the Gryphon All Share Tracker Fund A-class; 0.25% on the Gryphon Money Market Fund A-class; 1.1% on the Gryphon Dividend Income Fund E-class; 1.3% on the Gryphon Prudential Fund A-class; 1.3% on the Gryphon Flexible Fund A-class and 1.3% on the Gryphon Global Equity Fund A-class; is calculated and accrued daily and levied monthly on the market value of the fund portfolios, respectively.
- A Fund of Funds is a portfolio that invests in other portfolios of Collective Investment Schemes (Unit Trusts), which levy their own charges, which could result in a higher fee structure for the Fund of Funds.
- Brokerage and marketable securities tax, audit fees, bank charges, trustee and custodian fees are levied against the portfolios.
- The funds may also be available via certain Linked Investment Service Providers (LISPS), which levy their own fees.
- A schedule of fees, charges and maximum commissions is available on request from GCI.
- GCI reserves the right to close and re-open certain funds from time to time in order to manage them in accordance with mandates.
- The fund manager may borrow up to 10% of the market value of a portfolio to bridge insufficient liquidity.
- The scheme may engage in securities borrowing and script lending.
- Investment Statements will be sent to investors at the beginning of the month following an income distribution, or when participatory interests are acquired or redeemed. They are also available on request or online.
- Quarterly portfolio reports are available on request.
- Should any guarantees be furnished against price fluctuations, this shall be by a party other than GCI.

Initial: \_\_\_\_\_

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- 20. I/We indemnify and hold GCI harmless against any claim which I/we may have resulting from conducting my/our business via online services (including e-mail) or by way of facsimile and hereby consent to GCI taking any security precautions it may consider necessary, including magnetic recordings and storage of instructions.
  - 21. This application constitutes an agreement and a copy hereof is available and will be provided on request.
  - 22. Directors and personnel of GCI may be invested in any of the portfolios at any time.
  - 23. Complaints may be lodged in writing with the Compliance Officer of GCI at the address on this form. Complaints may also be lodged with the Financial Sector Conduct Authority.
  - 24. GCI holds professional indemnity cover.
  - 25. GCI reserves the right to determine the minimum investment amount on an annual basis. If the investment falls below the prescribed minimum investment amount, investors must either increase the amount invested or redeem investments immediately.
  - 26. Income tax legislation is subject to amendment and any such changes could affect the tax status of future dividend income distributed by the Gryphon Dividend Income Fund.
  - 27. Although reasonable steps have been taken to ensure the validity and accuracy of the information in this document, GCI does not accept any responsibility for any claim, damages, loss or expense, howsoever arising, out of or in connection with the information in this document, whether by a client, investor or intermediary. This document should not be seen as an offer to purchase any specific product and is not to be construed as advice or guidance in any form whatsoever. Investors are encouraged to obtain independent professional investment and taxation advice before investing in any Collective Investment portfolio.
  - 28. Debit Orders are subject to a 40-day clearance period.
  - 29. Only distribution payout amounts exceeding R50 will be made. Amounts of R50 and below will be reinvested.
  - 30. Repurchases will be processed and paid into the investor's bank account within 48 hours of all documentation being received, unless a later date is specified.. Payments to new bank accounts are subject to proof of banking details.
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## 5. Investor Declaration

GCI wishes to inform you that all information regarding your personal and financial matters is treated as strictly confidential.

I certify that all information, including information regarding my country of citizenship and my country of tax residence, is correct.

I also warrant that I have full power and authority and that I am legally competent to enter into this transaction, with the necessary assistance, where such assistance is a legal requirement, and I agree by my signature, that I have read and understood the information provided in this application form, including that under the "Disclosures" section and that all information provided by me is true and correct in all respects.

Investor Signature: \_\_\_\_\_ Date Signed: \_\_\_\_\_(dd/mm/ccyy)

\*Authorised Signatory: \_\_\_\_\_ Date Signed: \_\_\_\_\_(dd/mm/ccyy)

\*Authorised signatory/(ies) acting on behalf of the investor.

Initial: \_\_\_\_\_